# Modeling and control of embedded underwater robot based on backstepping adaptive algorithm<sup>1</sup>

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Abstract. The attitude and depth stability of underwater robots plays a decisive role in its underwater operating accuracy. However, underwater environment is convoluted due to multiple interference factors such as flow influence, wall effect and among others. It is necessary to design a motion control system, of which the control functions and accuracy meet the requirements, to ensure a high stability of attitude in actual working process. Based on the mechanical structures of existing underwater robots, this article puts forward the R&D of a motion control system based on embedded underwater robot; it describes in details the quaternion-based Strap-down Inertial Navigation System (SINS) and accurately obtains the attitude model through simulating power system layout of four-rotor aircraft; in addition, it innovatively adopts the backstepping adaptive algorithm in underwater robot motion control to improve the attitude control accuracy. The results of underwater robot simulation have a good consistency with the experimental data under various flow conditions.

**Key words.** Underwater search & rescue robot, quaternion, strap-down inertial navigation system (SINS), backstepping algorithm,.

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# 1. Introduction

The total surface area of the Earth is 510 million square kilometers [1], of which ocean accounts for about 70 percent. Ocean is rich in marine living resources, mineral resources and other resources, and it is one of the four strategic spaces for human survival and development. In this context, the development of underwater detection equipment has become an important guarantee for the marine maintenance and full utilization of marine resources; the development of intelligent and multi-function unmanned underwater vehicles (UUV) has become the wave of the future.

#### 2. Establishment of the dynamic model

#### 2.1. Dynamic system model.

The dynamical system of underwater search & rescue robot mainly includes the motor drive, propeller and speed measurement [2]. The model is as follows:

$$J_{\text{total}}\dot{\omega}_{\text{motor}} = K_{\text{M}}I - M_{\text{drag}}\,,\tag{1}$$

$$V = IR + K_{\rm E}\omega_{\rm motor} \,, \tag{2}$$

$$J_{\text{total}} = J_{\text{R}} + J_{\text{rotor}\to\text{motor}} \,, \tag{3}$$

$$M_{\rm drag} = \frac{M_{\rm rotor}\theta_2}{\mu\theta_1} = \frac{M_{\rm rotor}}{\eta\tau}, \qquad (4)$$

$$M_{\rm drag} = \frac{k_{\rm d}\omega_{\rm rotor}^2}{\eta\tau} = \frac{k_{\rm d}\omega_{\rm motor}^2}{\eta\tau} \,, \tag{5}$$

$$J_{\text{total}} = K_{\text{M}} \left( \frac{V - K_{\text{E}} \omega_{\text{motor}}}{R} \right) - \frac{k_{\text{d}\omega} \omega_{\text{motor}}^2}{\eta \tau^3} , \qquad (6)$$

$$\dot{\omega}_{\rm rotor} = -\frac{k_{\rm d}}{J_{\rm total}\eta\tau^2}\omega_{\rm rotor}^2 - \frac{K_{\rm M}K_{\rm E}}{J_{\rm total}R}\omega_{\rm rotor} + \frac{K_{\rm M}}{J_{\rm total}R\tau}V\,.$$
(7)

Formulae (1) and (2) are the general equations of the model. Here,  $J_{\text{total}}$  is the total moment of inertia,  $\omega_{\text{motor}}$  is the motor speed,  $\omega_{\text{rotor}}$  is the rotor speed  $K_{\text{M}}$  is the motor torque constant,  $M_{\text{drag}}$  is the motor load torque, V is the motor input voltage, I is the armature current, R is the rcuit resistance,  $\theta_1$  and  $\theta_2$  are the motor rotational angles and  $K_E$  is the back electromotive force constant [3].

Formula (3) is the synthesis equation of total moment of inertia. Symbol  $J_{\rm R}$  is the motor rotor inertia, and  $J_{\rm rotor\to motor}$  is the moment of inertia of other parts [4].

Formula (4) can be obtained through energy conservation equation  $\eta M_{\text{drag}}\theta_1 = M_{\text{drag}}\theta_2$ .

Formula (5) is obtained through the simplification of formula (4) by assuming the scale factor being  $k_{\rm d}$ .

Formulae (6) and (7) represent the final dynamical equations of the dynamical system.

#### 2.2. Model establishment

Define  $F_X$ ,  $F_Y$ ,  $F_Z$  as the components of force F in three axis of coordinate system. Let p, q and r be the components of angular velocity  $\boldsymbol{\omega}$  of underwater vehicle. Using Newton's Second Law of Motion, the dynamical equations of underwater vehicle can be separately expressed in vector forms [5]

$$\boldsymbol{F} = m \frac{\mathrm{d}\boldsymbol{V}}{\mathrm{d}t}, \quad \boldsymbol{M} = \frac{\mathrm{d}\boldsymbol{H}}{\mathrm{d}t},$$
 (8)

where F is the sum of external forces acting on underwater robot, m is the mass of underwater robot, V is its velocity of underwater vehicle, M is the sum of torques acting on the underwater vehicle and H is the absolute angular momentum of the underwater robot relative to the ground coordinate system and symbol G denotes the gravity. There also holds

$$\boldsymbol{G} = m\boldsymbol{g}, \quad D_i = \rho C_{\rm d} \omega_{\rm i}^2 / 2 = k_{\rm d} \omega_{\rm i}^2, \quad T_i = \rho C_{\rm t} \omega_{\rm i}^2 / 2 = k_t \omega_{\rm i}^2, \quad (9)$$

where  $\rho$  is the density of water,  $C_d$  and  $C_t$  are the drag coefficients,  $T_i$  is the lifting force of propeller and  $\omega_i$  is the angular velocity.

According to the stress analysis, Newton's Second Law of Motion and underwater vehicle dynamical equation, the component equations of the movement can be obtained and expressed as follows:

$$\ddot{x} = (F_x - K_1 \dot{x}) / m = \left( k_t \sum_{i=1}^4 \omega_i^2 (\sin \psi \sin \theta \sin \phi + \sin \psi \sin \phi) - K_1 \dot{x} \right) / m,$$
  
$$\ddot{y} = (F_y - K_2 \dot{y}) / m = \left( k_t \sum_{i=1}^4 \omega_i^2 (\sin \psi \sin \phi \cos \phi - \cos \psi \sin \phi) - K_1 \dot{x} \right) / m,$$
  
$$\ddot{z} = (F_z - K_3 \dot{z} - mg) / m = \left( k_t \sum_{i=1}^4 \omega_i^2 (\cos \phi \cos \phi) - K_1 \dot{x} \right) / m - g.$$
(10)

Here,  $K_1$ ,  $K_2$  and  $K_3$  are the scaling factors.

According to the relationship between the Euler angle and angular velocity of underwater vehicle, it can be obtained

$$\begin{bmatrix} \dot{\phi} \\ \dot{\theta} \\ \dot{\psi} \end{bmatrix} = \begin{bmatrix} \frac{p\cos\theta + q\sin\phi\sin\theta + r\cos\phi\sin\theta}{\cos\theta} \\ q\cos\phi + r\sin\phi \\ \frac{q\sin\phi + r\cos\phi}{\cos\theta} \end{bmatrix}, \qquad (11)$$

# 3. Aircraft attitude detection and control principle

As shown in the Fig. 1, we define coordinate system A by  $O, X_A, Y_A, Z_A$ , and coordinate system B by  $O, X_B, Y_B, Z_B$ . Assume that  ${}^{A}\gamma = (r_x, r_y, r_z)$  is an arbitrary vector in A, and we will express an arbitrary angle  $\theta$  from B relative to A by its rotation around  ${}^{A}\gamma$ . We usually express this angle by quaternion  ${}^{A}_{B}q$  [6] defined as

$${}^{\mathrm{A}}_{\mathrm{B}}q = \left[q0\,q1\,q2\,q3\right] = \left[\cos\frac{\theta}{2} - \gamma_x\sin\frac{\theta}{2} - \gamma_y\sin\frac{\theta}{2} - \gamma_z\sin\frac{\theta}{2}\right],\qquad(12)$$

where  $-\gamma_x$  is the component of vector  ${}^A\gamma$  in axis X of the coordinate system A,  $-\gamma_y$  and  $-\gamma_z$  are components in axis Y and axis Z, respectively.

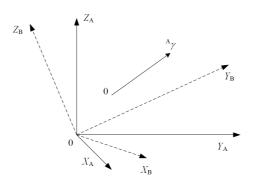


Fig. 1. Coordinate system A revolves around  $^{\rm A}\gamma$ 

Set that at a moment, moving coordinate system  $O_{XYZ}$  rotates relatively to fixed coordinate system  $O_{X_tY_tZ_t}$  by  $Q_1$ , i.e.

$$R_t(t) = Q_1 R(t) Q_1^{-1} \,. \tag{13}$$

here  $Q_1()Q_1^{-1}$  is the rotation operator and  $Q_1$  is the rotation quaternion [7].

At the moment  $t + \Delta t$  we have (see Fig. 3)

$$R_t(t + \Delta t) = Q_2 R(t + \Delta t) Q_2^{-1}.$$
(14)

Then it can be obtained that at the moment of change t to  $t + \Delta t$ , the position change of moving coordinate can be expressed as  $Q_1^{-1}Q_2$ , and their relationship is as shown in Fig. 3. As  $\Delta t$  is very small, the angular velocity of moving coordinate  $\overline{\omega}$  is constant, so that the angular displacement of moving coordinate is

$$\Delta \theta = |\overline{\omega}| \Delta t \,. \tag{15}$$

In formula (15),  $|\overline{\omega}|$  expresses the module of  $\overline{\omega}$  that determines the direction of  $\Delta \theta$ . Set unit vector  $\xi = \frac{\overline{\omega}}{|\overline{\omega}|}$ , then it can be obtained that

$$Q_1^{-1}Q_2 = \cos\frac{|\overline{\omega}|\,\Delta t}{2} + \xi \sin\frac{|\overline{\omega}|\,\Delta t}{2} \tag{16}$$

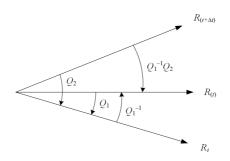


Fig. 2. Rotation quaternion change diagram

and hence,

$$Q_2 = Q1 \left( \cos \frac{|\overline{\omega}| \,\Delta t}{2} + \xi \sin \frac{|\overline{\omega}| \,\Delta t}{2} \right) \,. \tag{17}$$

Therefore, the derivative of Q(t) with respect to time  $\overset{\bullet}{Q}(t)$  can be expressed as follows

$$\overset{\bullet}{Q}(t) = \lim_{\Delta t \to 0} \frac{Q_2 - Q_1}{\Delta t} = \lim_{\Delta t \to 0} \frac{Q_1}{\Delta t} \left( \cos \frac{|\overline{\omega}| \Delta t}{2} - 1 + \xi \sin \frac{|\overline{\omega}| \Delta t}{2} \right) .$$
(18)

As  $\overline{\omega}$  only has the vector part, the rotation quaternion differential equation is

$$\overset{\bullet}{Q}(t) = \frac{1}{2}Q\xi \,|\overline{\omega}| = \frac{1}{2}Q\overline{\omega} = \frac{1}{2}Q\omega \,, \tag{19}$$

so that the correction model of quaternion may be expressed as

The next step is calculation of the strap-down matrix T. By using the four units  $q_0$ ,  $q_1$ ,  $q_2$  and  $q_3$  in the quaternion, the strap-down matrix can be obtained in the form

$$T = \begin{bmatrix} q_0^2 + q_1^2 - q_2^2 - q_3^2 & 2(q_1q_2 - q_0q_3) & 2(q_1q_3 + q_0q_2) \\ 2(q_1q_2 + q_0q_3) & q_0^2 - q_1^2 + q_2^2 - q_3^2 & 2(q_2q_3 - q_0q_1) \\ 2(q_1q_3 + q_0q_2) & 2(q_2q_3 + q_0q_1) & q_0^2 + q_1^2 - q_2^2 + q_3^2 \end{bmatrix}$$
(21)

The attitude matrix T can also be expressed by the relationship of roll angle  $\phi$ , pitch angle  $\theta$  and drift angle  $\psi$ , as follows:

$$T = \begin{bmatrix} \cos\phi\cos\psi - \sin\phi\sin\theta\sin\psi & -\cos\theta\sin\psi & \sin\phi\cos\psi + \cos\phi\sin\theta\sin\psi \\ \cos\phi\cos\psi - \sin\phi\sin\theta\sin\psi & \cos\theta\cos\psi & \sin\phi\sin\psi - \cos\phi\sin\theta\cos\psi \\ -\sin\phi\cos\theta & \sin\theta & \cos\phi\cos\theta \end{bmatrix} =$$

$$= \begin{bmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{bmatrix}.$$
 (22)

Then, the principal values of pitch angle  $\theta$ , roll angle  $\phi$  and drift angle  $\psi$  are

$$\theta_{\rm p} = \sin^{-1}(T_{32}), \ \phi_{\rm p} = \tan^{-1}(\frac{-T_{31}}{-T_{33}}), \ \psi_{\rm p} = \tan^{-1}(\frac{-T_{12}}{-T_{22}}).$$
(23)

According to the range of each angle  $\theta$ ,  $\phi$  and  $\psi$ , the correct value of attitude angle can be calculated as  $\theta = \theta_{\rm p}$ ,

$$\phi = \begin{cases}
\phi_{\rm p} & (T_{33} > 0) \\
\phi_{\rm p} + 180^{\circ} & (T_{33} < 0, \phi_{\rm p} < 0) \\
\phi_{\rm p} + 180^{\circ} & (T_{33} < 0, \phi_{\rm p} > 0) ,
\end{cases}$$

$$\psi = \begin{cases}
\psi_{\rm p} & (T_{22} > 0, \psi_{\rm p} < 0) \\
\psi_{\rm p} + 360^{\circ} & (T_{22} < 0, \psi_{\rm p} < 0) \\
\psi_{\rm p} + 180^{\circ} & (T_{22} < 0) .
\end{cases}$$
(24)

First, we give the state space equation of the filter in the form

$$\begin{bmatrix} x_r \\ \bullet \\ x_r \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -\omega_n^2 & -2\xi\omega_n \end{bmatrix} \cdot \begin{bmatrix} x_r \\ \bullet \\ x_r \end{bmatrix} + \begin{bmatrix} 0 \\ \omega_n^2 \end{bmatrix} \cdot x_r^0,$$
(25)

where  $\xi$  is the damping ratio of the second-order filter, and  $\omega_n$  is the natural frequency. A typical second-order low-pass filter is depicted in Fig. 4.

The transfer function from the input  $x_r^0$  to the output  $x_r$  is

$$\frac{x_r(s)}{x_r^0(s)} = G(s) = \frac{\omega_n^2}{s^2 + 2\xi\omega_n s + \omega_n^2} \,. \tag{26}$$

It can be seen that an increase of  $\omega_n$  can reduce error  $|x_r^0(t) - x_r(t)|$ , but if  $\omega_n$  is too high, it will bring high-frequency measurement noise into the system.

# 4. Conclusion

This article analyzes the overall idea of underwater robot design and focuses on the layout of propellers; then it briefly analyzes the forces acting on underwater robots in the process of underwater movement and introduces two coordinate systems to describe the movements of underwater robots; in addition, it conducts a stress analysis on the space motion of underwater robot, and builds a dynamical model based on the analysis; at last, this article establishes the simulation model of underwater robots based on the previous study. It discusses the attitude detection and control principle of underwater robot, and introduce in detail the quaternion-based strap-down attitude description and obtains an accurate attitude model; then, it simulates the dynamical system layout of four-rotor aircraft, and innovatively adopts the control method of Backstepping into the motion control of underwater robot; in addition, it conducts control simulation experiments to prove the correctness and effectiveness of this method.

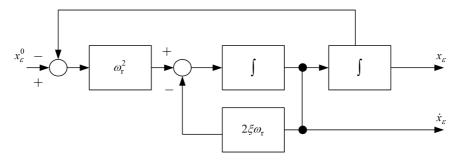


Fig. 3. Structure of the second-order low-pass filter

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